

# CS250B: Modern Computer Systems

## Storage Technologies Introduction

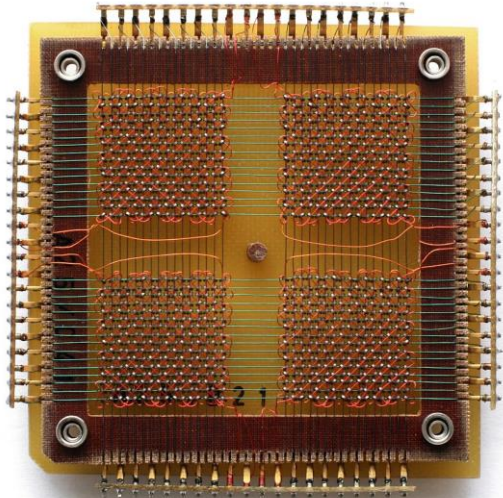


Sang-Woo Jun

# Storage Used To be a Secondary Concern

- ❑ Typically, storage was not a first order citizen of a computer system
  - As allured by its name “secondary storage”
  - Its job was to load programs and data to memory, and disappear
  - Most applications only worked with CPU and system memory (DRAM)
  - Extreme applications like DBMSs were the exception
- ❑ Because conventional secondary storage was very slow
  - Things are changing!

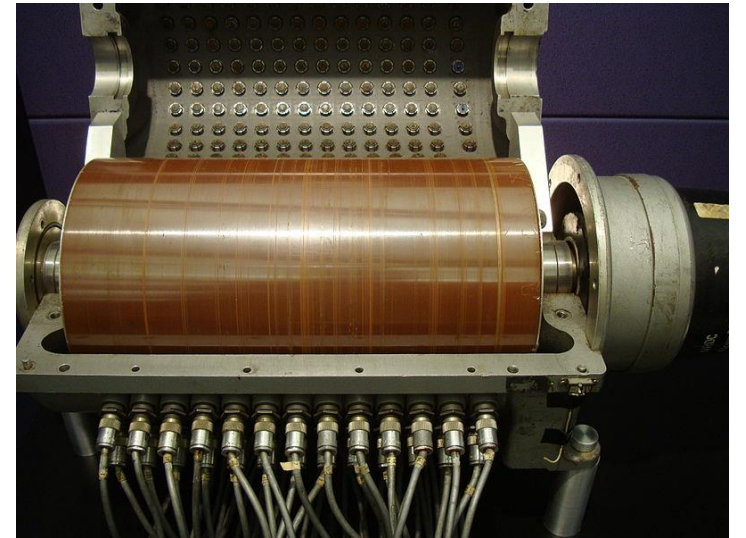
# Some (Pre)History



Magnetic core memory  
1950~1970s  
(1024 bits in photo)



Rope memory (ROM) 1960's  
72 KiB per cubic foot!  
Hand-woven to program the  
Apollo guidance computer



Drum memory  
100s of KiB  
1950's

# Some (More Recent) History



Floppy disk drives  
1970's~2000's  
100 KiBs to 1.44 MiB

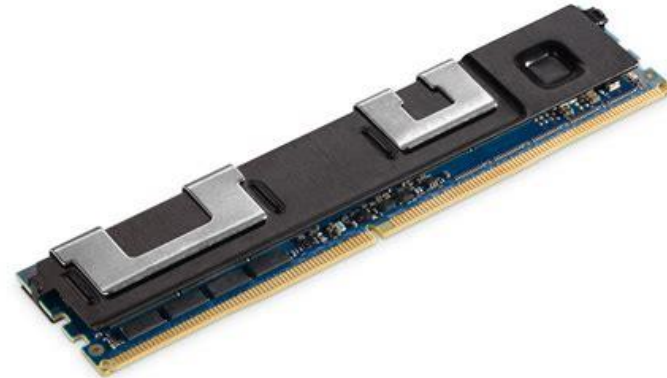


Hard disk drives  
1950's to present  
MBs to TBs

# Some (Current) History



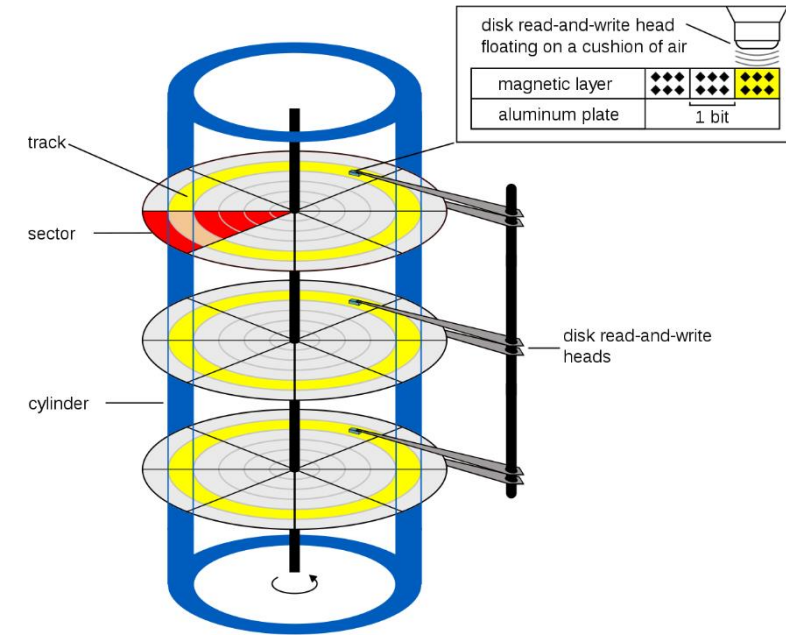
Solid State Drives  
2000's to present  
GB to TBs



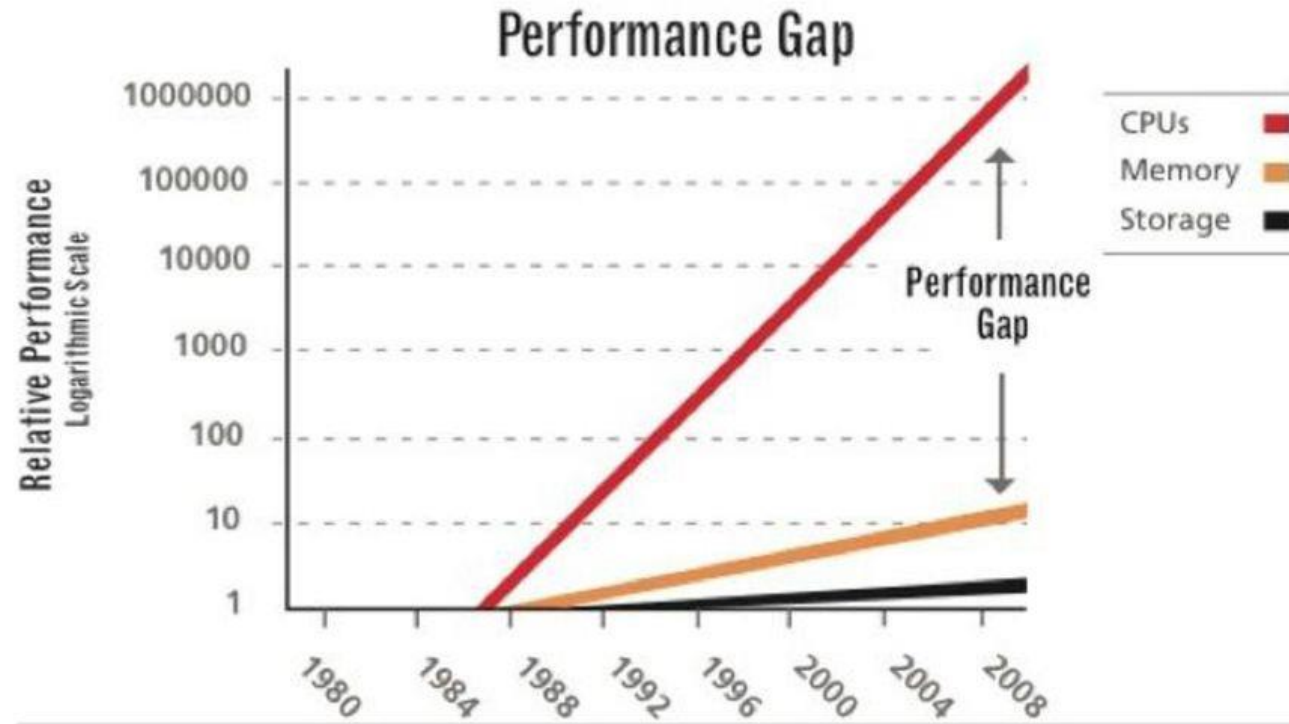
Non-Volatile Memory  
2010's to present  
GBs

# Hard Disk Drives

- ❑ Dominant storage medium for the longest time
  - Still the largest capacity share
- ❑ Data organized into multiple magnetic platters
  - Mechanical head needs to move to where data is, to read it
  - Good sequential access, terrible random access
    - 100s of MB/s sequential, maybe 1 MB/s 4 KB random
  - Time for the head to move to the right location (“seek time”) may be ms long
    - 1000,000s of cycles!
- ❑ Typically “ATA” (Including IDE and EIDE), and later “SATA” interfaces
  - Connected via “South bridge” chipset

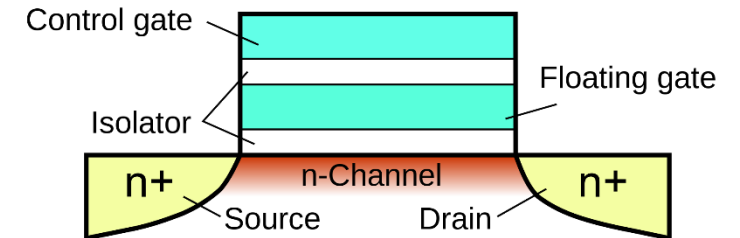


# Big picture: performance gap



# Solid State Drives

- ❑ “Solid state”, meaning no mechanical parts, addressed much like DRAM
  - Relatively low latency compared to HDDs (10s of us, compared to ms)
  - Easily parallelizable using more chips – Multi-GB/s
- ❑ Simple explanation: flash cells store state in a “floating gate” by charging it at a high voltage
  - High voltage acquired via internal charge pump (no need for high V input)





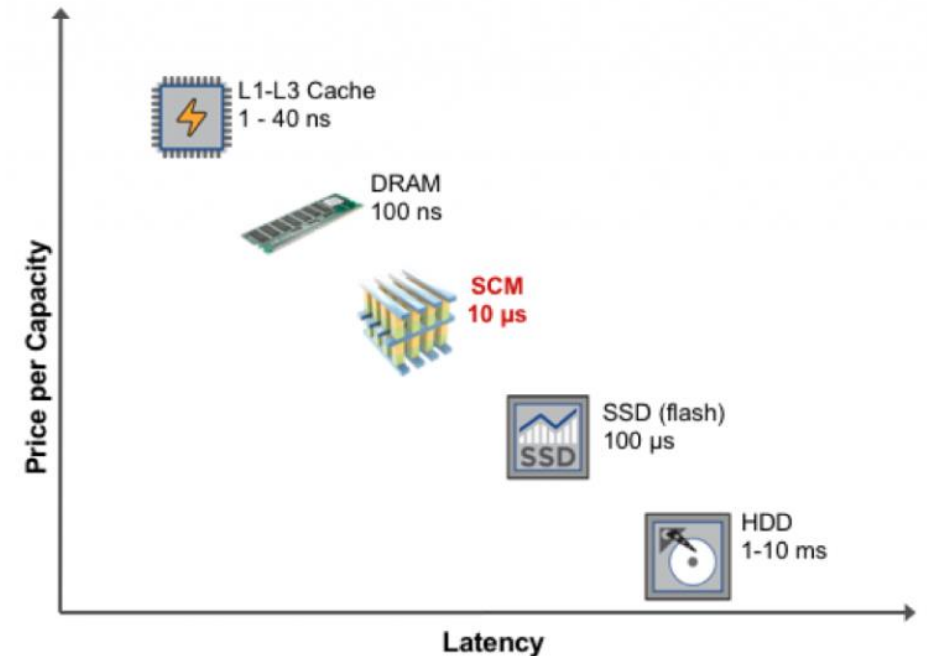
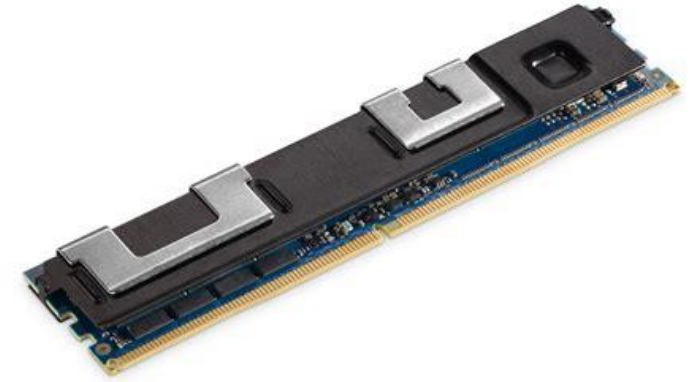
# Solid State Drives

- ❑ Serial ATA (SATA) interface, over Advanced Host Controller Interface (AHCI) standard
  - Used to be connected to south bridge,
  - Up to 600 MB/s, quickly became too slow for SSDs
- ❑ Non-Volatile Memory Express (NVMe)
  - PCIe-attached storage devices – multi-GB/s
  - Redesigns many storage support components in the OS for performance



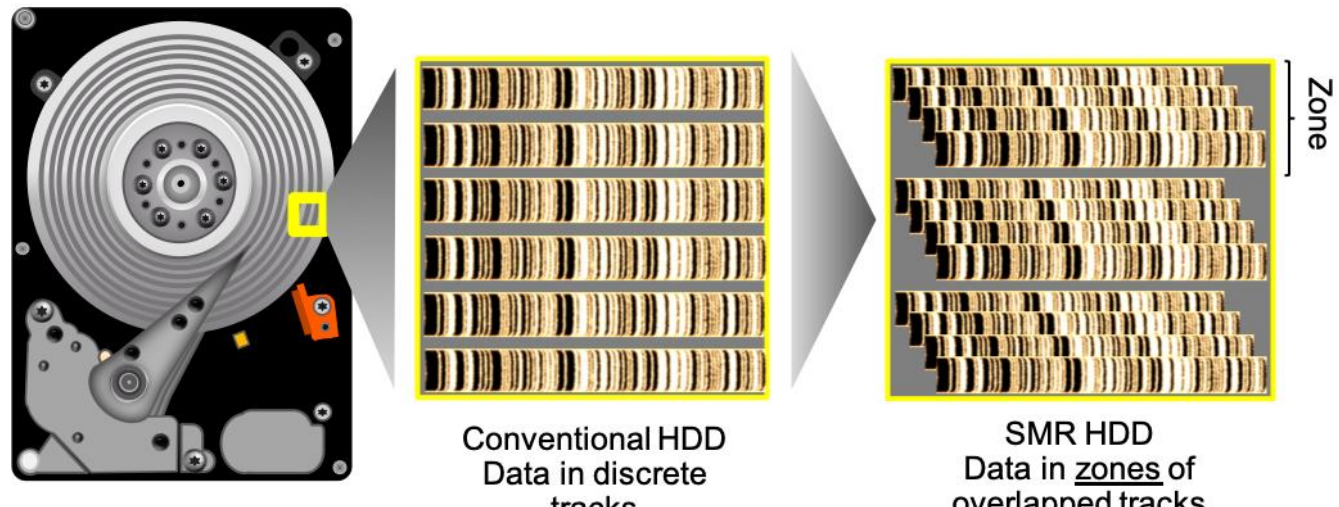
# Non-Volatile Memory

- ❑ Naming convention is a bit vague
  - Flash storage is also often called NVM
    - Storage-Class Memory (SCM)?
  - Anything that is non-volatile and fast?
- ❑ Too fast for even PCIe/NVMe software
  - Plugged into memory slots, accessed like memory
  - e.g., Intel Optane
- ❑ But not quite as fast as DRAM
  - Latency/Bandwidth/Access granularity
  - Usage under active research!

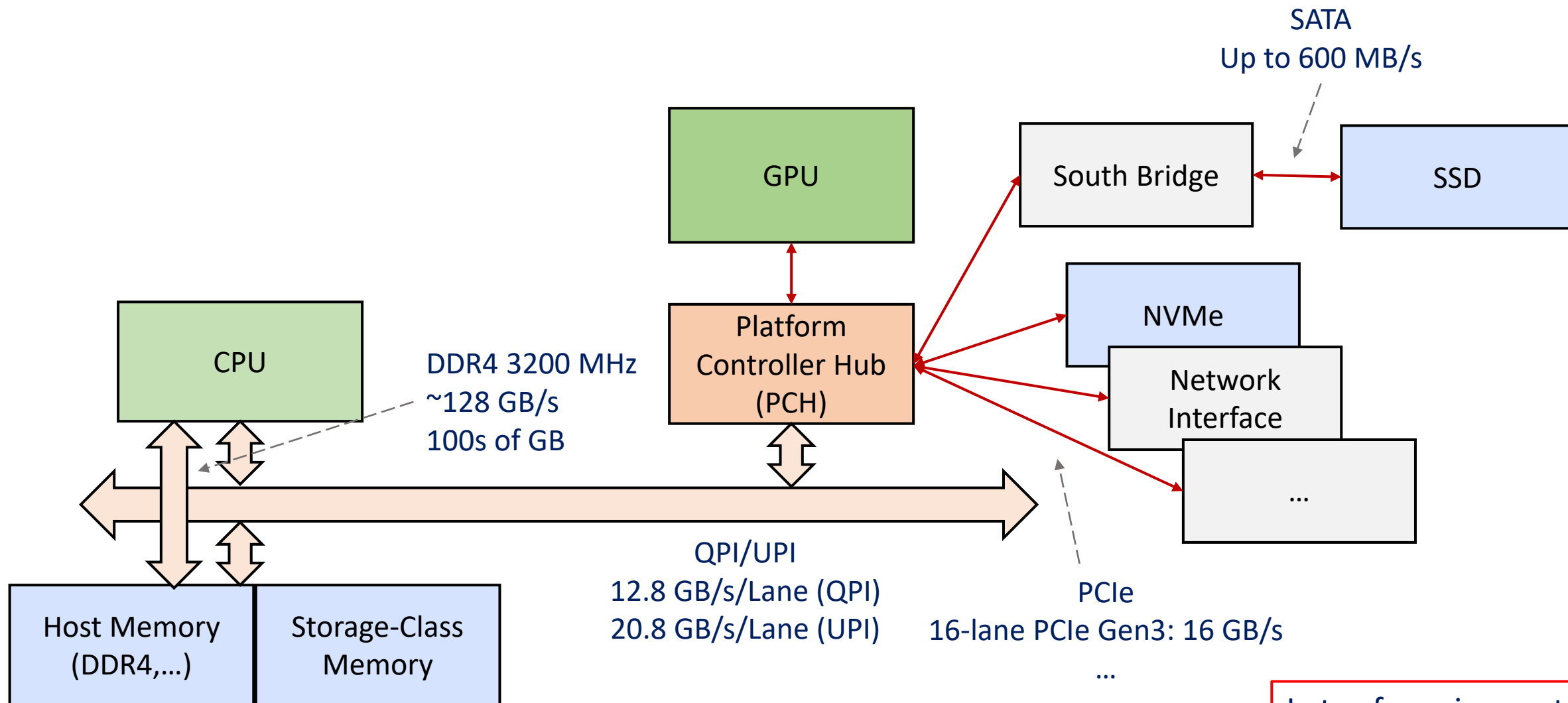


# Shingled Magnetic Recording (SMR): Larger/Slower Magnetic Disks

- ❑ Hard disk scaling was slowing due to limit in density scaling
  - Limit in making data write header smaller
- ❑ SMR: Tracks on a platter are overlapped to improve density
  - Organized into “zone” groups of tracks
  - Writing earlier tracks of a zone can destroy data in later zones
  - Reading is largely unchanged, because read header width is narrower
- ❑ Slower speed, lower resilience
- ❑ More storage per dollar



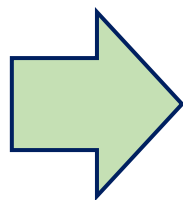
# System Architecture Snapshot



Lots of moving parts!

# Storage for Analytics

Fine-grained,  
Irregular access  
Terabytes in size



\$\$\$ \$8000/TB, 200W

The goal:



\$ \$400/TB, 10W



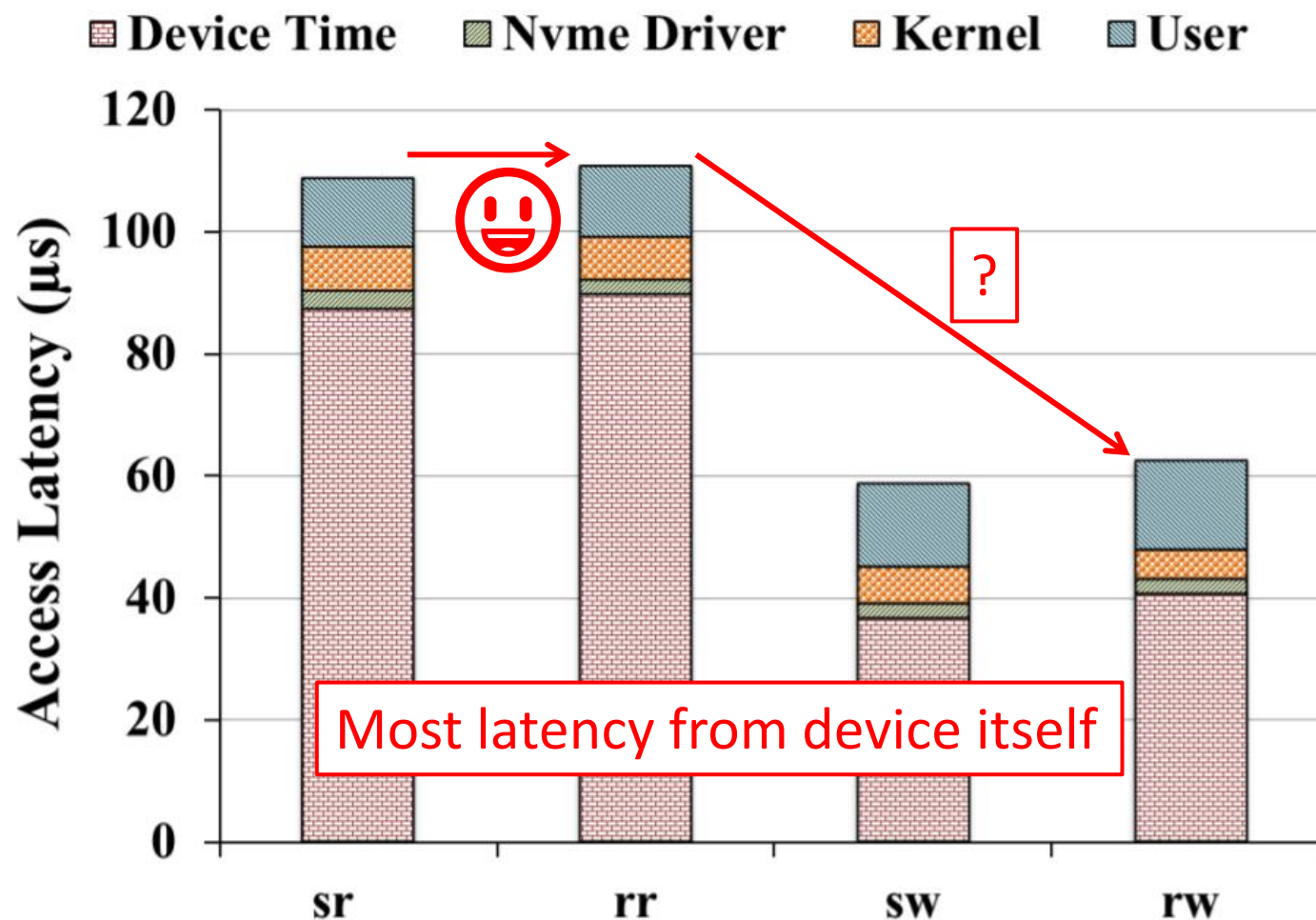
\$ \$150/TB, 2W

# Performance Challenges in Flash Storage 1

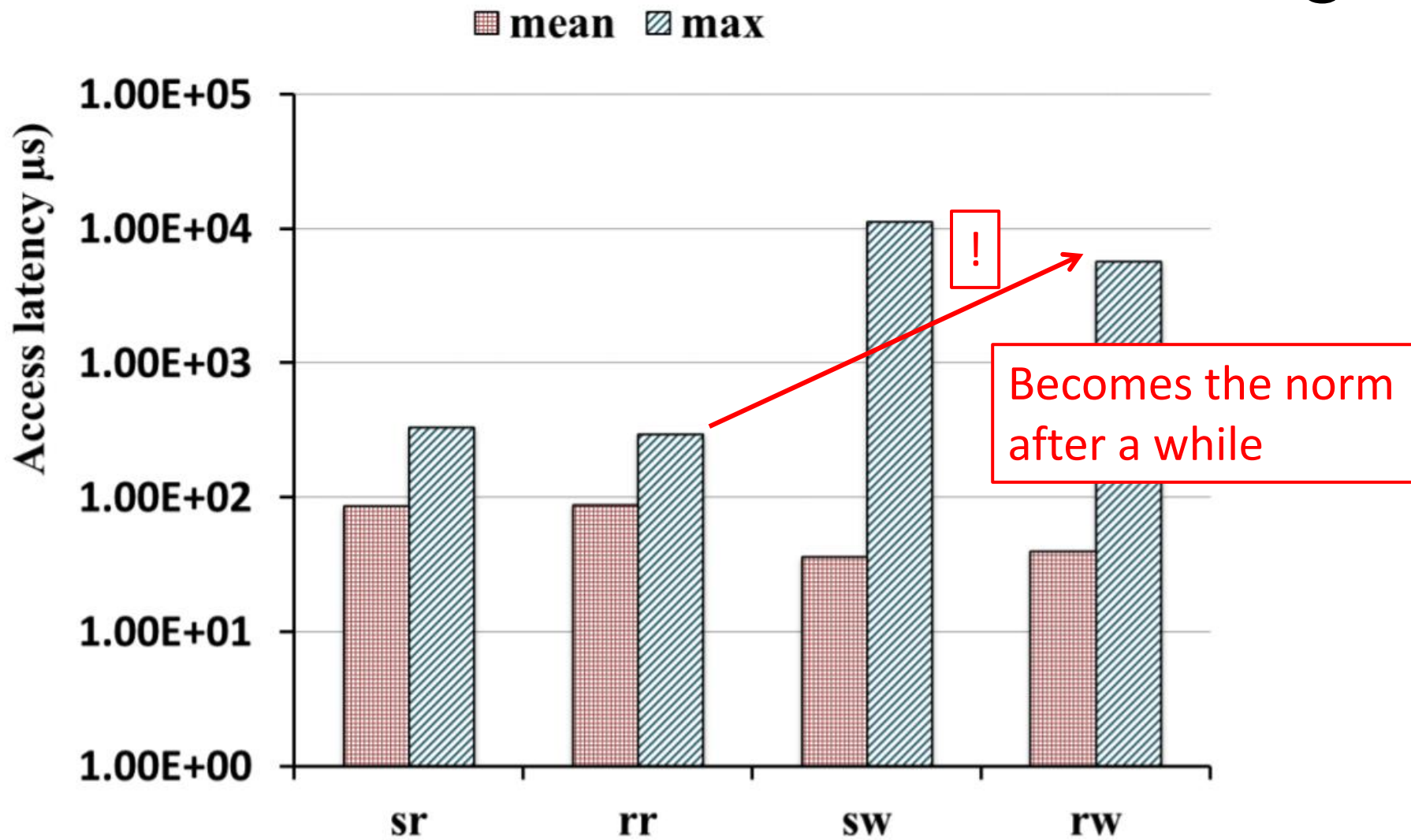
|            | Flash       | DRAM     |
|------------|-------------|----------|
| Bandwidth: | 0.6-10 GB/s | ~50 GB/s |

Not bad! Considering local DRAM and RAID

# Performance Challenges in Flash Storage 2

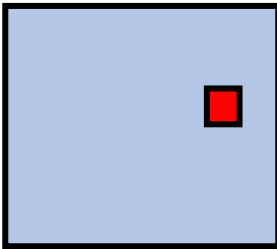



# Performance Challenges in Flash Storage 2





# Performance Challenges in Flash Storage 3

|                     | Flash   | DRAM   |
|---------------------|---|--|
| Bandwidth:          | 0.6-10 GB/s   | ~50 GB/s   |
| Latency:            | ~100 $\mu$ s  | ~15 ns   |
| Access Granularity: | <br>8192 Bytes | <br>128 Bytes |

\* Wastes performance by  
not using most of fetched page

# CS250B: Modern Computer Systems

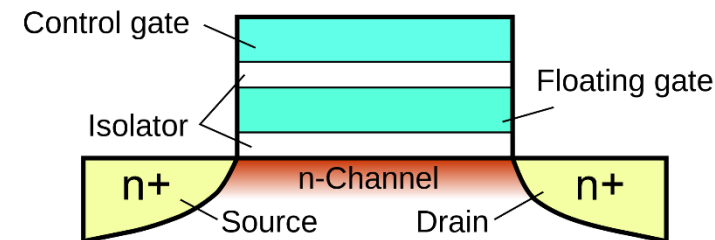
## Flash Storage



Sang-Woo Jun

# Flash Storage

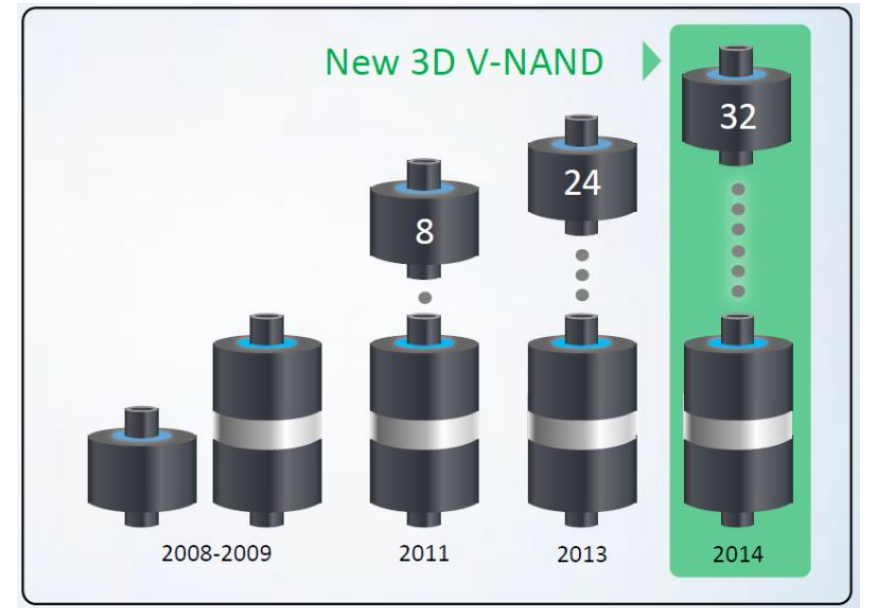
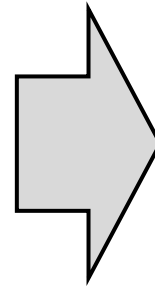
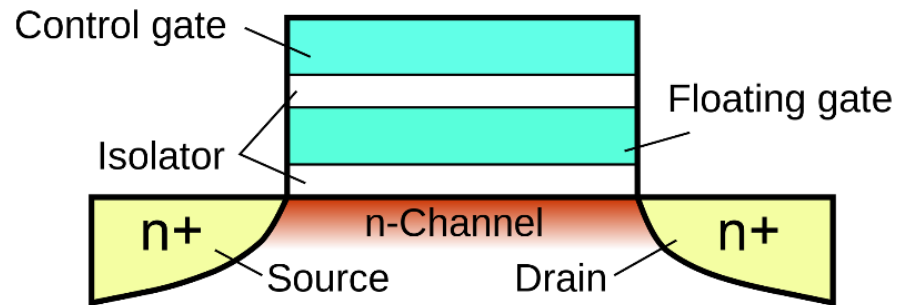
- ❑ Most prominent solid state storage technology
  - Few other technologies available at scale (Intel X-Point one of few examples)
- ❑ Flash cells store data in “floating gate” by charging it at high voltage\*
- ❑ Cells configured into NOR-flash or NAND-flash types
  - NOR-flash is byte-addressable, but costly – In phones and embedded devices
  - NAND-flash is “page” addressable, but cheap – In secondary storage
- ❑ Many bits can be stored in a cell by differentiating between the amount of charge in the cell
  - Single-Level Cell (SLC), Multi (MLC), Triple (TLC), Quad (QLC)
  - Typically cheaper, but slower with more bits per cell



\*Variations exist, but basic idea is similar

# 3D NAND-Flash

- ❑ NAND-Flash scaling limited by charge capacity in a floating gate
  - Only a few hundred can fit at current sizes
  - Can't afford to leak even a few electrons!
- ❑ Solution: 3D stacked structure... For now!

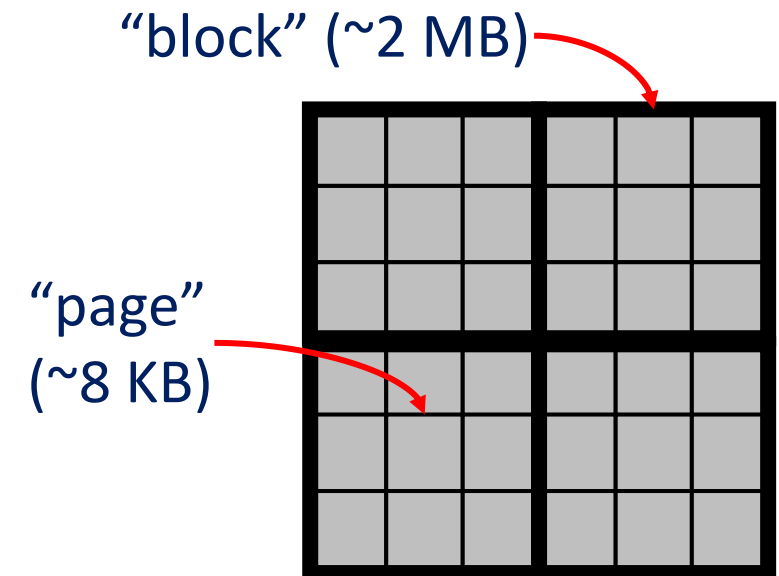


# NAND-Flash Fabric Characteristics

- ❑ Read/write in “page” granularity
  - 4/8/16 KiB according to technology
  - Corresponds to disk “sector” (typically 4 KiB)
  - Read takes 10s of us to 100s of us depending on tech
  - Writes are slower, takes 100s of us depending on tech
- ❑ A third action, “erase”
  - A page can only be written to, after it is erased
  - Under the hood: erase sets all bits to 1, write can only change some to 0
  - **Problem** : Erase has very high latency, typically ms
  - **Problem** : Each cell has limited program/erase lifetime (thousands, for modern devices) – Cells become slowly less reliable

# NAND-Flash Fabric Characteristics

- ❑ Performance impact of high-latency erase mitigated using large erase units (“blocks”)
  - Hundreds of pages erased at once
- ❑ What these mean: in-place updates are no longer feasible
  - In-place write requires whole block to be re-written
  - Hot pages will wear out very quickly
    - One reason SSDs not recommended for swap space!
- ❑ People would not use flash if it required too much special handling

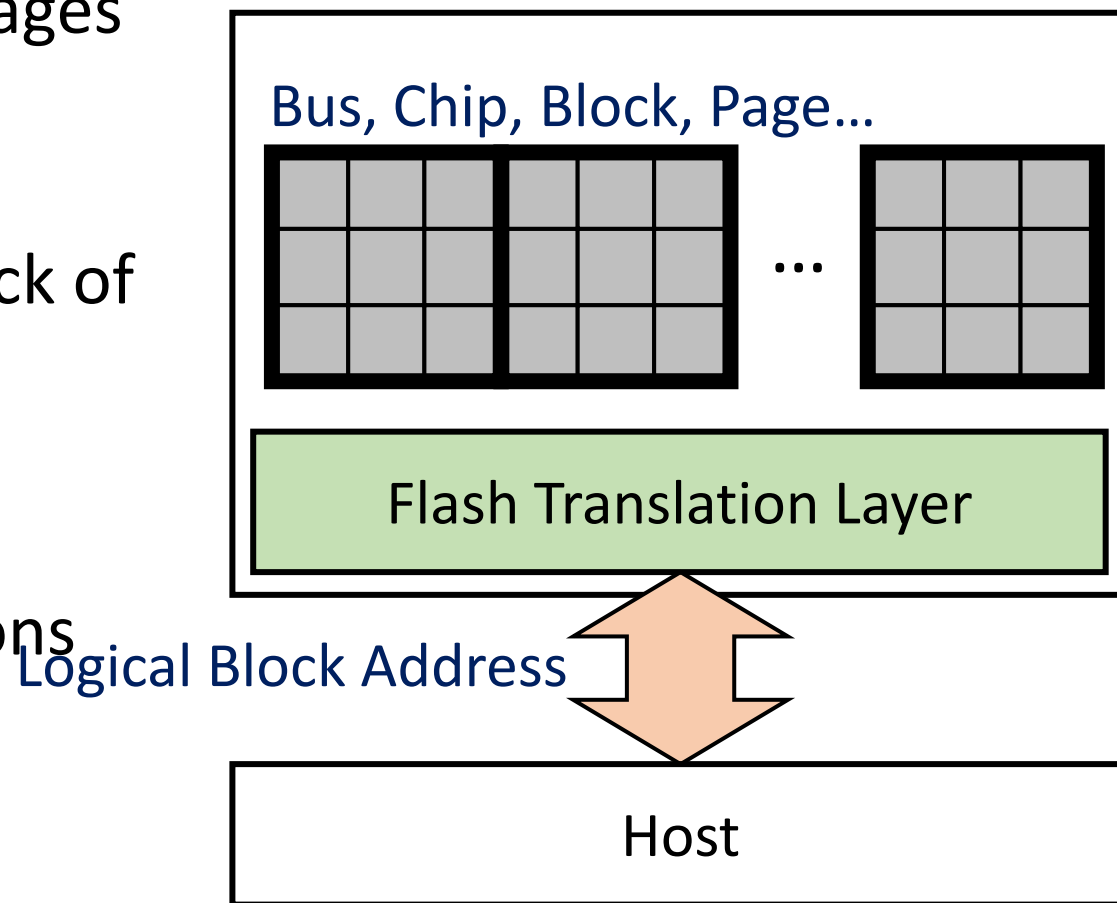


# NAND-Flash SSD Architecture

- ❑ High bandwidth achieved by organizing many flash chips into many buses
  - Enough chips on a bus to saturate bus bandwidth
  - More busses to get more bandwidth
- ❑ Many dimensions of addressing
  - Bus, chip, block, page
- ❑ Write/erase needs to be intelligent to get performance/lifetime

# The Solution: Flash Translation Layer (FTL)

- ❑ Exposes a logical, linear address of pages to the host
  - Drop-in replacement for disks
- ❑ A “Flash Translation Layer” keeps track of actual physical locations of pages and performs translation
  - $\text{Physicalpage} = \text{map}[\text{logicalpage}]$ ;
- ❑ Transparently performs many functions for performance/durability



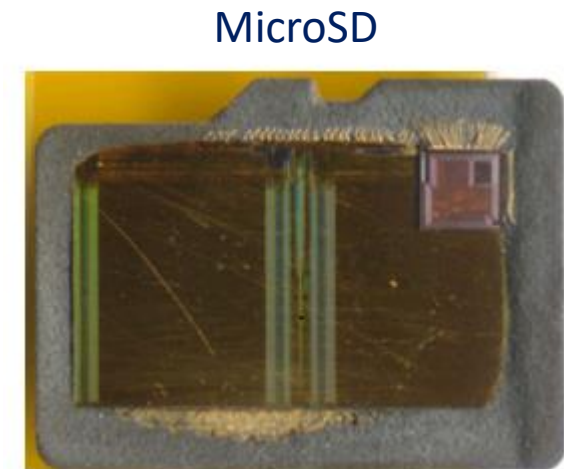
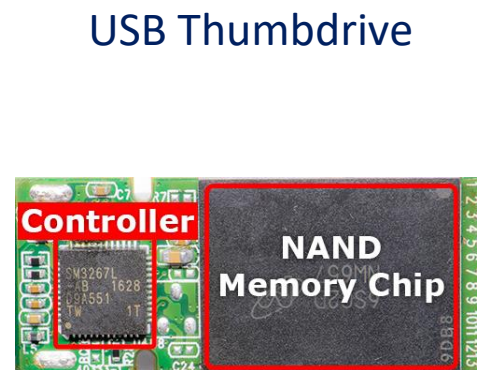
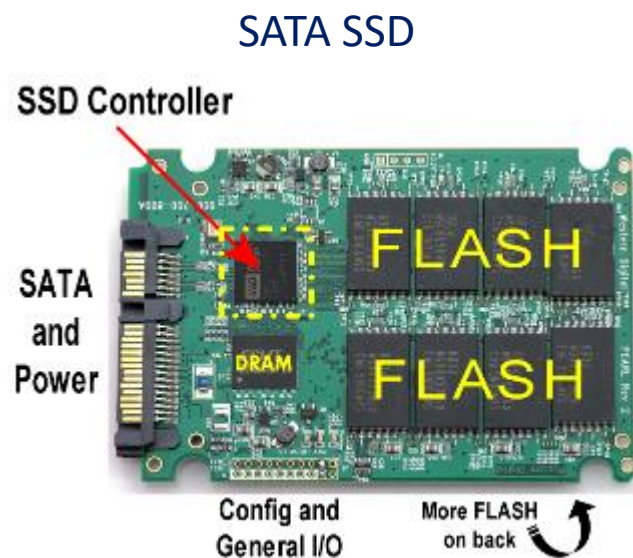


# Some Jobs of the Flash Translation Layer

- ❑ Logical-to-physical mapping
- ❑ Bad block management
- ❑ Wear leveling: Assign writes to pages that have less wear
- ❑ Error correction: Each page physically has a few more bits for error codes
  - Reed-Solomon, BCH, LDPC, ...
- ❑ Deduplication: Logically map pages with same data to same physical page
- ❑ Garbage collection: Clear stale data and compact pages to fewer blocks
- ❑ Write-ahead logging: Improve burst write performance
- ❑ Caching, prefetching,...

# That's a Lot of Work for an Embedded System!

- ❑ Needs to maintain multi-GB/s bandwidth
- ❑ Typical desktop SSDs have multicore ARM processors and gigabytes of memory to run the FTL
  - FTLs on smaller devices have sacrifice various functionality



Thomas Rent, "SSD Controller," [storagereview.com](http://storagereview.com)  
Jeremy, "How Flash Drives Fail," [recovermyflashdrive.com](http://recovermyflashdrive.com)  
Andrew Huang, "On Hacking MicroSD Cards," [bunniestudios.com](http://bunniestudios.com)

# Some FTL Variations

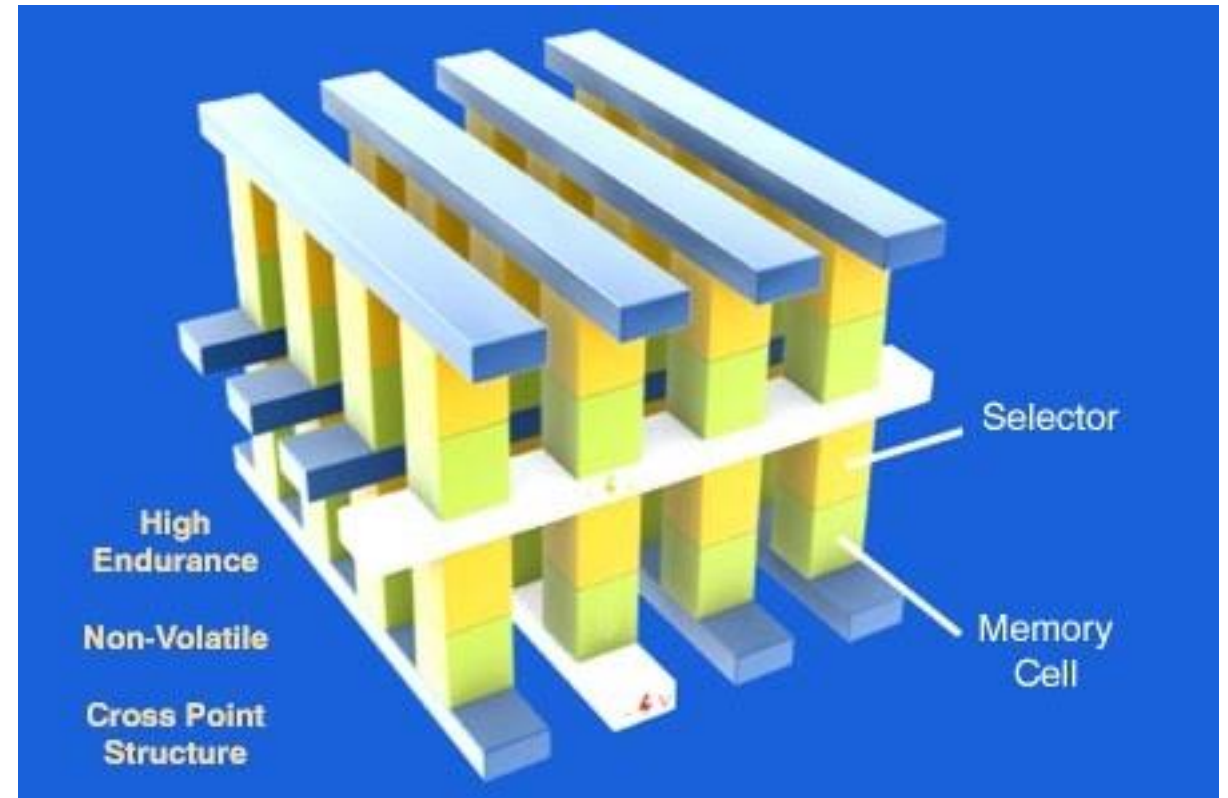
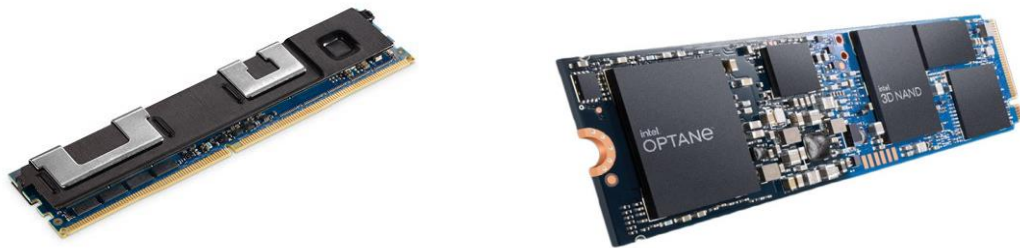
- ❑ Page level mapping vs. Block level mapping
  - 1 TB SSD with 8 KB blocks need 1 GB mapping table
  - But much better performance/lifetime with finer mapping
- ❑ Wear leveling granularity
  - Honest priority queue is too much overhead
  - Many shortcuts, including group based, hot-cold, etc
- ❑ FPGA/ASIC acceleration
- ❑ Open-channel SSD – No FTL
  - Leaves it to the host to make intelligent, high-level decisions
  - Incurs host machine overhead

# Managing Write Performance

- ❑ Write speed is slower than reads, especially if page needs to be erased
- ❑ Many techniques to mitigate write overhead
  - Write-ahead log on DRAM
  - Pre-erased pool of pages
  - For MLC/TLC/QLC, use some pages in “SLC mode” for faster write-ahead log –  
Need to be copied back later

# Aside: Intel 3D XPoint

- ❑ Phase Change Memory? (PCM)
- ❑ Byte addressable\*
- ❑ No explicit erase required
- ❑ Lower latency
- ❑ Expensive!
- ❑ Available as storage & memory



# CS250B: Modern Computer Systems

## Efficient Use of High Performance Storage

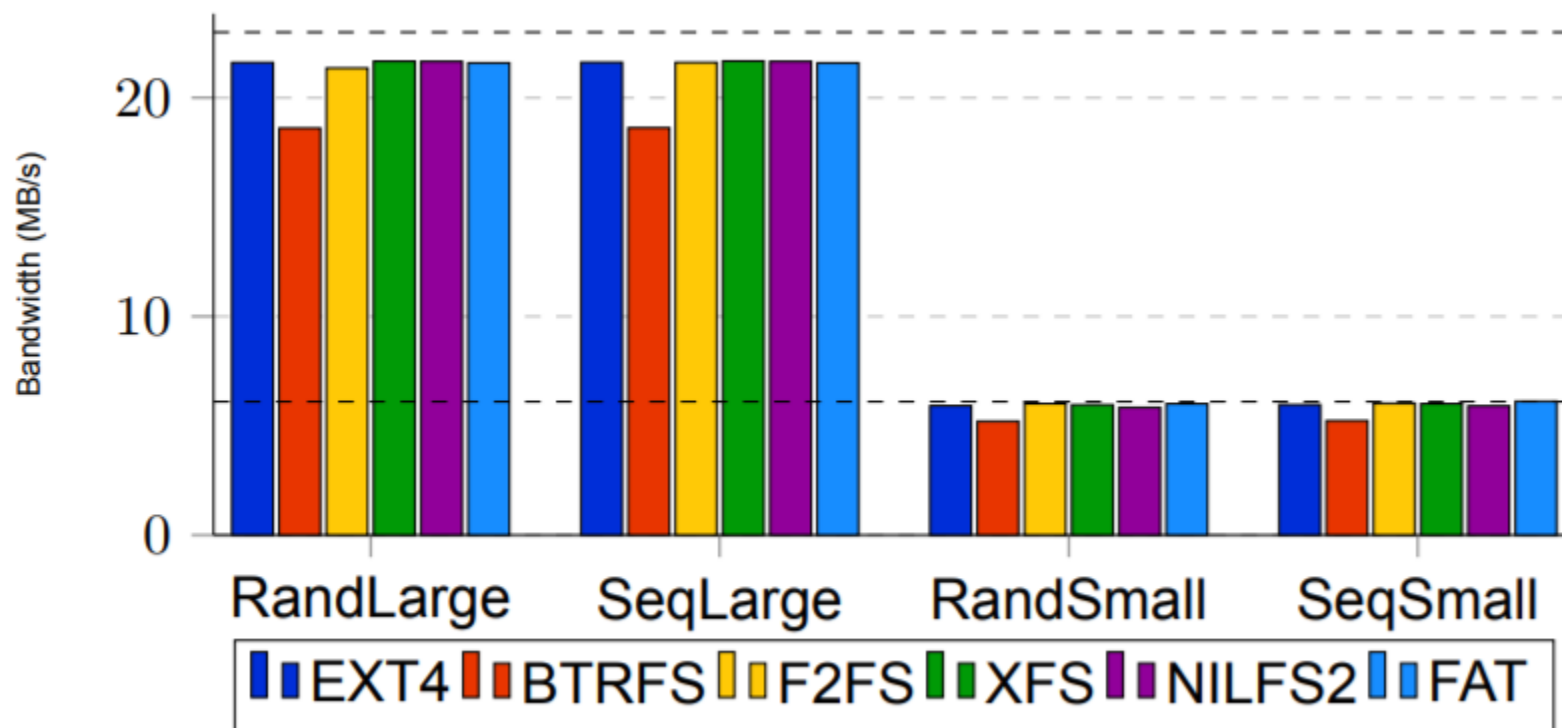


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# Flash-Optimized File Systems

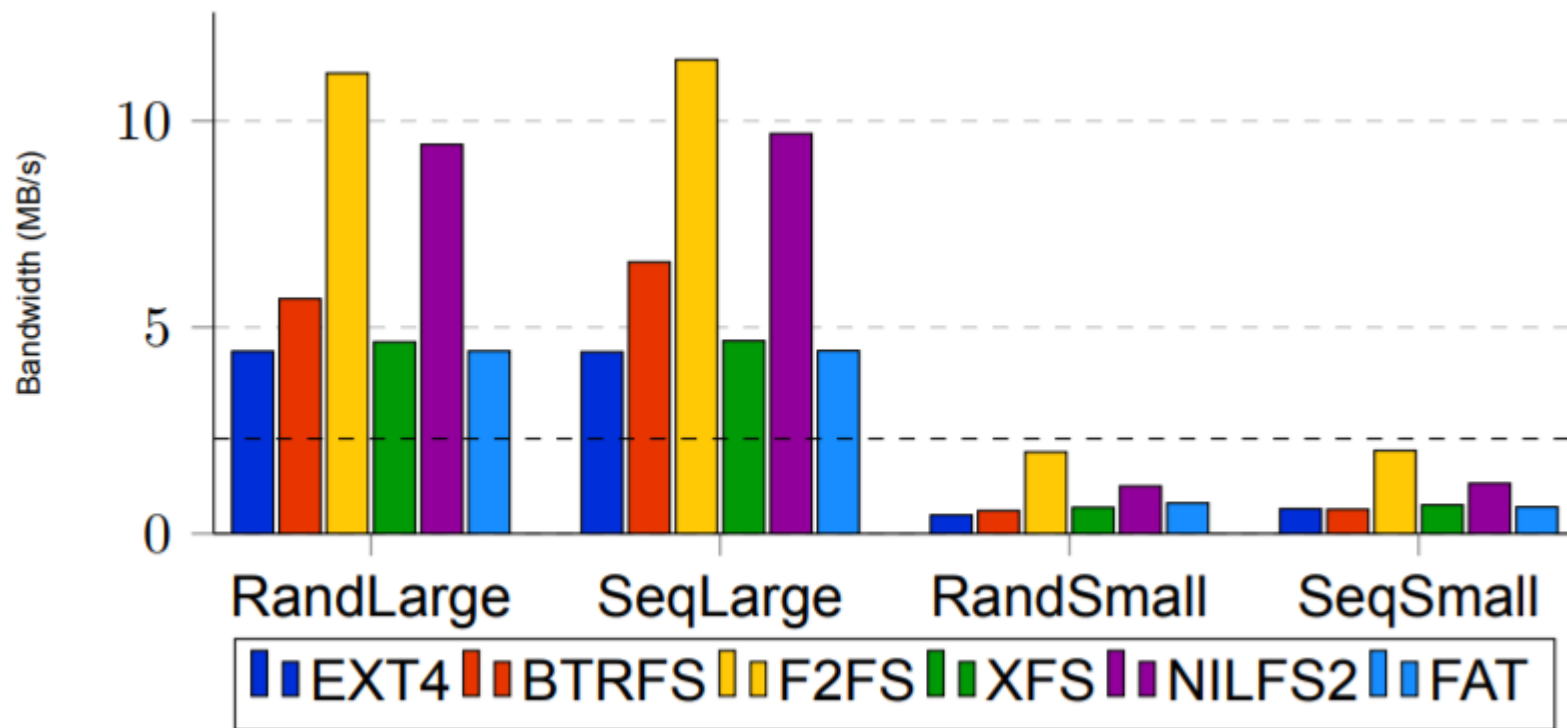
- ❑ Try to organize I/O to make it more efficient for flash storage (and FTL)
- ❑ Typically “Log-Structured” File Systems
  - Random writes are first written to a circular log, then written in large units
  - Often multiple logs for hot/cold data
  - Reading from log would have been very bad for disk (gather scattered data)
- ❑ JFFS , YAFFS, F2FS, NILFS, ...

# Direct Read Performance Comparisons

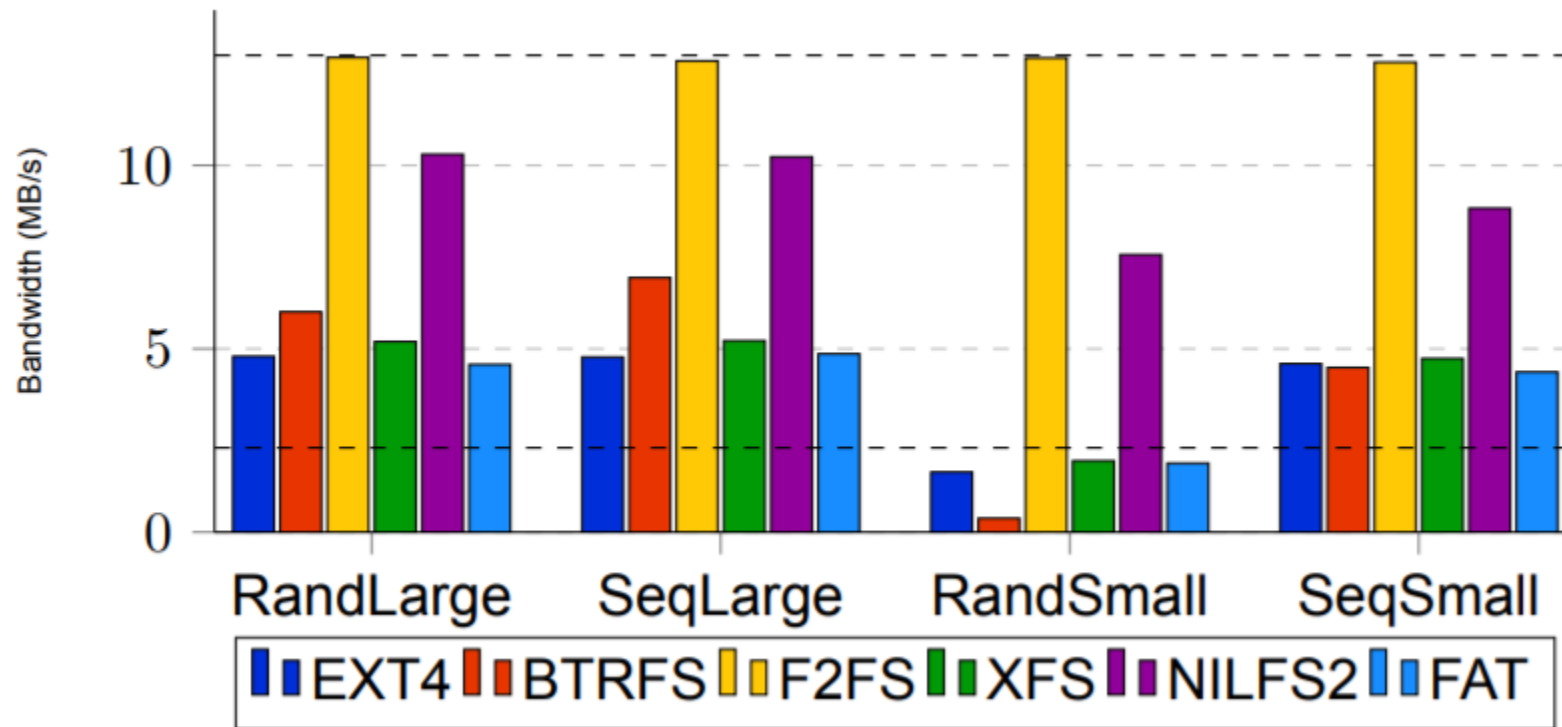




# Direct Write Performance Comparisons



# Buffered Write Performance Comparisons

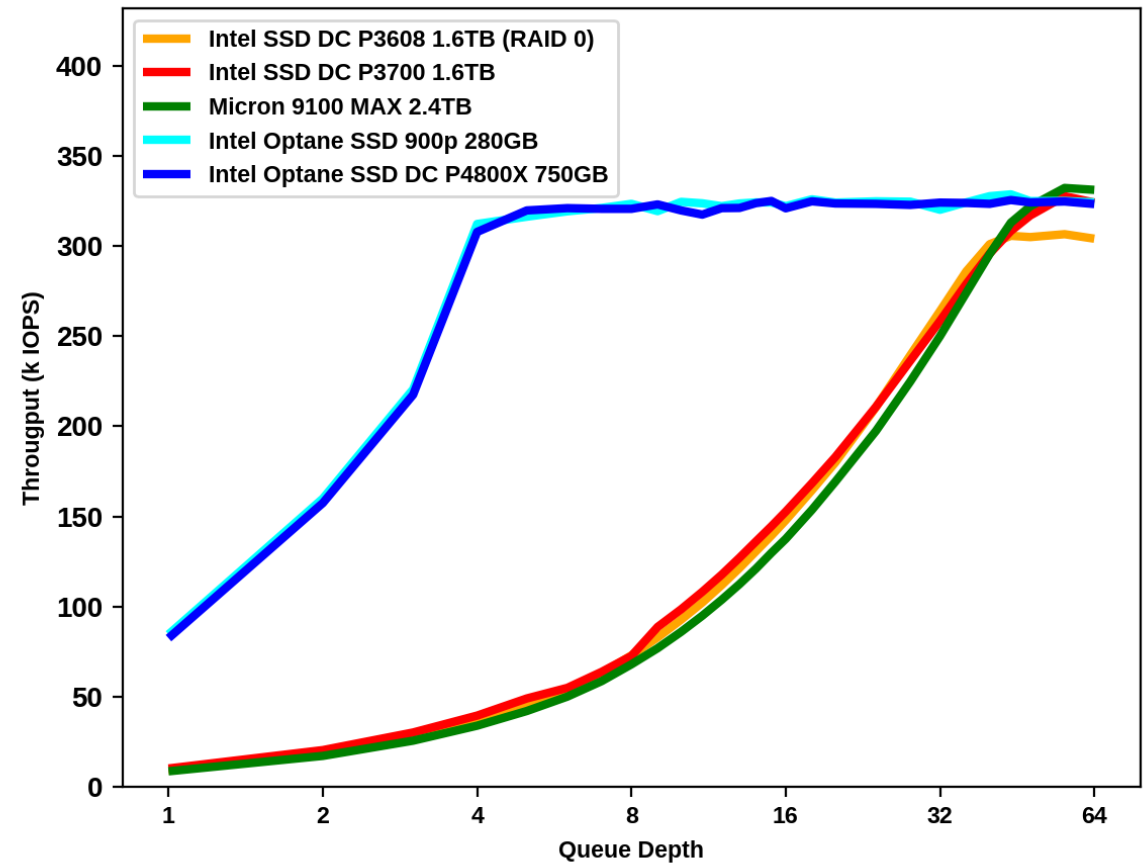


# Queue Depth and Performance

□ For high bandwidth, enough requests must be in flight to keep many chips busy

- With fread/read/mmap, need to spawn many threads to have concurrent requests
- Traditionally with thread pool that makes synchronous requests (POSIX AIO library and many others)

4kB Random Read Throughput vs Queue Depth  
Queue Depth 1-64, 1 Thread

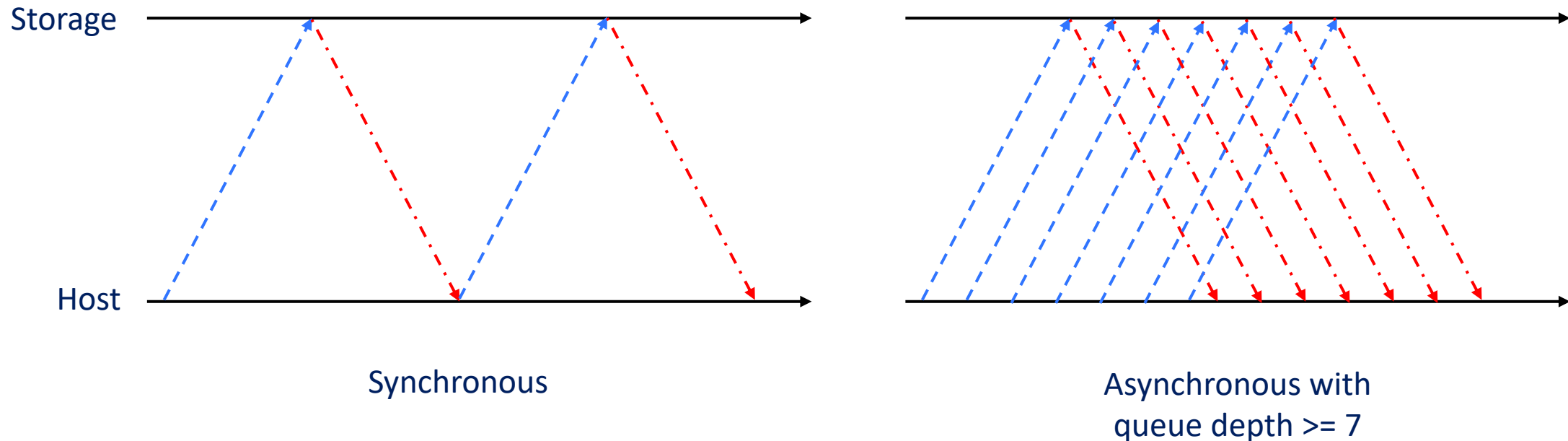


# Some Background – Page Cache

- ❑ Linux keeps a page cache in the kernel that stores some pages previously read from storage
  - Automatically tries to expand into unused memory space
  - Page cache hit results in high performance
  - Data reads involve multiple copies (Device → Kernel → User)
  - Tip: Write “3” to `/proc/sys/vm/drop_caches` to flush all caches
- ❑ Page cache can be bypassed via “direct mode”
  - “open” syscall with `O_DIRECT`
  - Lower operating system overhead, but no benefit of page cache hits
  - Useful if application performs own caching, or knows there is zero reuse

# Asynchronous I/O

- Many in-flight requests created via non-blocking requests
  - Generate a lot of I/O requests from a single thread



# Asynchronous I/O

## ❑ Option 1: POSIX AIO library

- Creates thread pool to offload blocking I/O operations – Queue depth limited by thread count
- Part of libc, so easily portable
- Can work with page caches

## ❑ Option 2: Linux kernel AIO library (libaio)

- Asynchrony management offloaded to kernel (not dependent on thread pool)
- Despite efforts, does not support page cache yet (Only O\_DIRECT)
- Especially good for applications that manage own cache (e.g., DBMSs)

## ❑ Option 3: Linux kernel Uring

- Relatively new! Supports non O\_DIRECT

# Linux Kernel libaio

## ❑ Basic flow

- aio\_context\_t created via io\_setup
- struct iocb created for each io request, and submitted via io\_submit
- Check for completion using io\_getevents

## ❑ Multiple aio\_context\_t may be created for multiple queues

- Best performance achieved by multiple contexts across threads, each with large nr\_events
- Multi thread not because of aio overhead, but actual data processing overhead

```
int io_setup(unsigned nr_events, aio_context_t *ctx_idp);
int io_submit(aio_context_t ctx_id, long nr, struct iocb **iocbpp);
int io_getevents(aio_context_t ctx_id, long min_nr, long nr,
                struct io_event *events, struct timespec *timeout);
```

# libaio Example

## ❑ Create context

```
if( io_setup( AIO_DEPTH, &m_io_ctx ) != 0 ) {  
> fprintf(stderr, "%s %d io_setup error\n", __FILE__, __LINE__);  
}
```

## ❑ Send request

- Arguments to recognize results

```
io_prep_pwrite(&ma_iocb[idx], fd, block.buffer, bytes, offset);  
iocbArgs* args = &ma_request_args[idx];  
...  
ma_iocb[idx].data = args;  
struct iocb* iocbs = &ma_iocb[idx];  
int ret_count = io_submit(m_io_ctx, 1, &iocbs);
```

## ❑ Poll results

- Recognize results with arguments

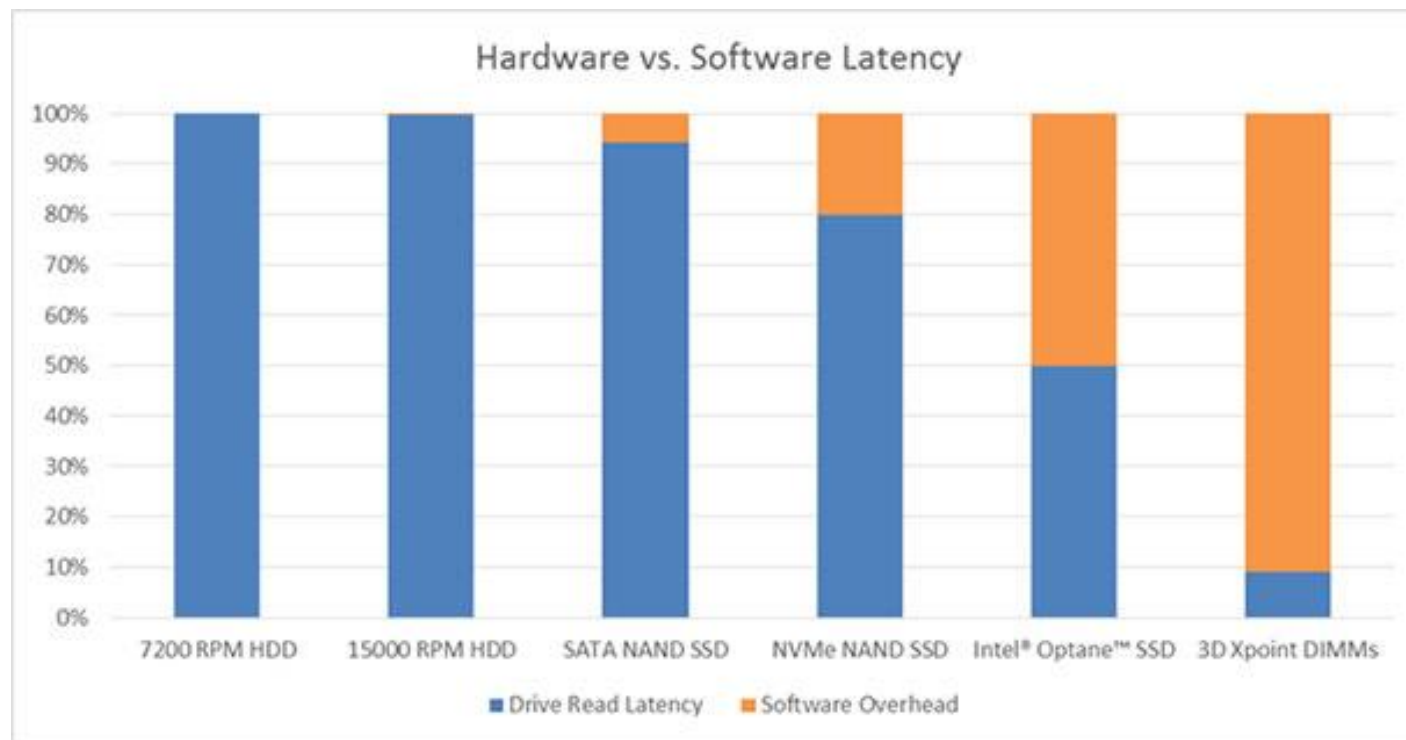
```
int num_events = io_getevents(m_io_ctx, 0, AIO_DEPTH, ma_events, NULL);  
for ( int i = 0; i < num_events; i++ ) {  
> struct io_event event = ma_events[i];  
> iocbArgs* arg = (iocbArgs*)event.data;
```

Even with 8 KB random access, single thread can saturate multi-GB/s NVMe!



# User-Space I/O Libraries

- ❑ Syscall and kernel-user data copying has become relatively expensive
- ❑ e.g., Intel Storage Performance Development Kit (SPDK)
  - User-space, lock-free, interrupt-free (polling)



# Some Data Structures for Storage

- ❑ Wide class of algorithms and data structures optimized for storage
  - “External” or “out-of-core” algorithms and data structures
  - Forces coarse granularity (Multi-KBs – MBs)
  - Prioritized sequential accesses
- ❑ Most of what we learned about cache-oblivious data structures also work here

# B-Tree

- Generalization of a binary search tree, where each node can have more than two children
  - Typically enough children for each node to fill a file system page (Data loaded from storage is not wasted)
  - If page size is known, very effective data structure
    - Remember the performance comparison with van Emde Boas tree

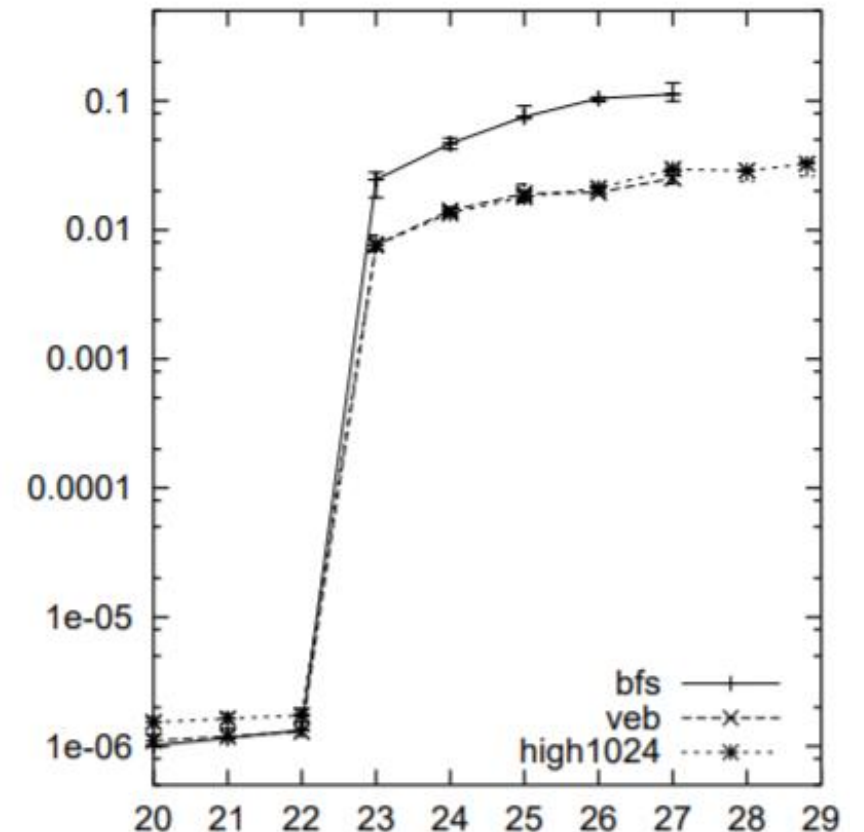
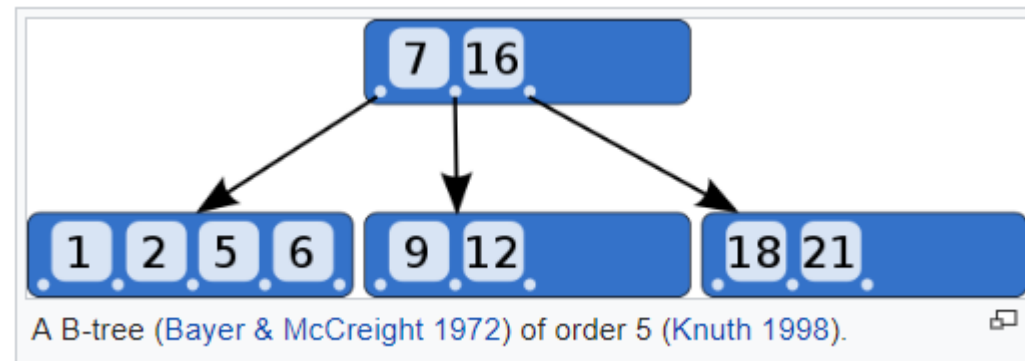


Figure 8: Beyond main memory

# B-Tree – Quick Recap

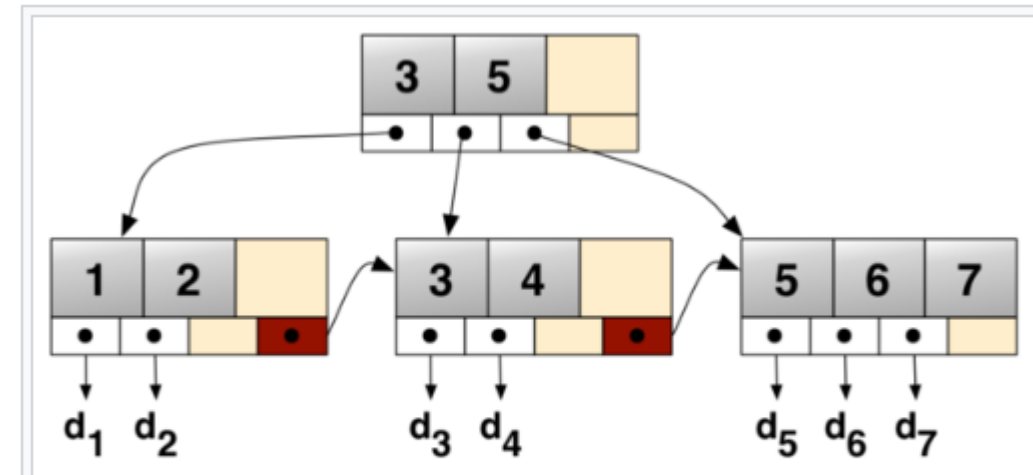
- ❑ Self-balancing structure!
- ❑ Insertion is always done at a leaf
  - If the leaf is full, it is split
  - If leaf splitting results in a parent overflow, split parent, repeat upwards
  - If root overflows, create a new root, and split old root
- ❑ Tree height always increases from the root, balancing the tree
- ❑ Deletion requires some handling for balance
  - Rotations in case of node underflow

Image from wikipedia



# B+Tree

- ❑ B-Tree modified to efficiently deal with key-value pairs
- ❑ Two separate types of nodes: internal and leaf
  - B-Tree had elements in both intermediate nodes and leaves
  - Internal nodes only contain keys for keeping track of children
  - Values are only stored in leaf nodes
  - All leaves are also connected in a linked list, for efficient range querying-



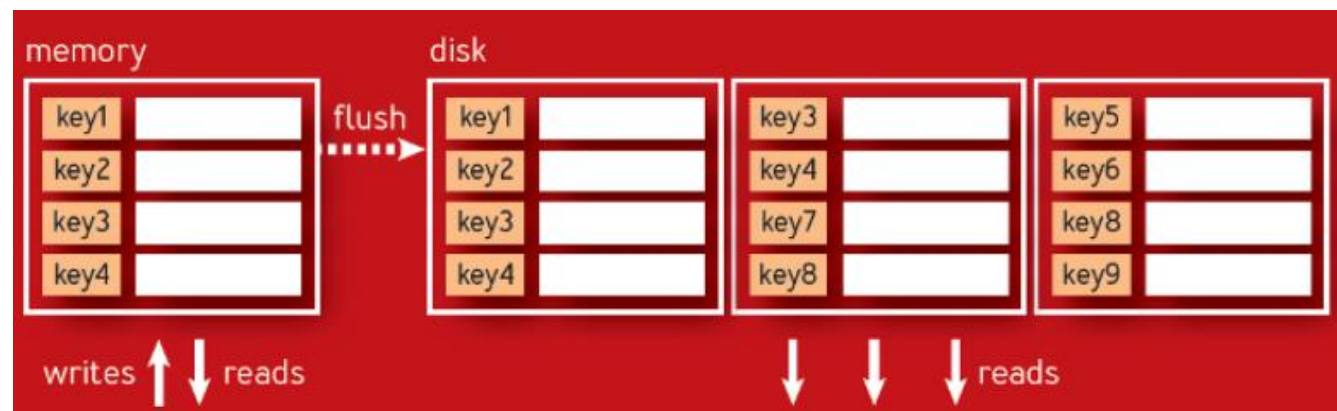
A simple B+ tree example linking the keys 1–7 to data values  $d_1$ – $d_7$ .  
The linked list (red) allows rapid in-order traversal. This particular tree's branching factor is  $b=4$ .

# Log-Structured Merge (LSM) Tree

- ❑ Storage-optimized tree structure
  - Key component of many modern DBMSs (RocksDB, Bigtable, Cassandra, ...)
- ❑ Consists of mutable in-memory data structure, and multiple immutable external (in-storage) data structures
  - Updates applied to in-memory data structure
  - In-memory data structure regularly flushed to new instance in storage
  - Lookups must search the in-memory structure, and potentially all instances in storage if not

# Log-Structured Merge (LSM) Tree

- ❑ In-memory: mutable, search-optimized data structure like B-Tree
  - After it reaches a certain size (or some time limit reached), flushed to storage and starts new
- ❑ External component: many immutable trees Like clustered indices
  - Typically search optimized external structure like Sorted String Tables
  - New one created every time memory flushes
  - Updates are determined by timestamp, deletions by placeholder markers
  - Search from newest file to old

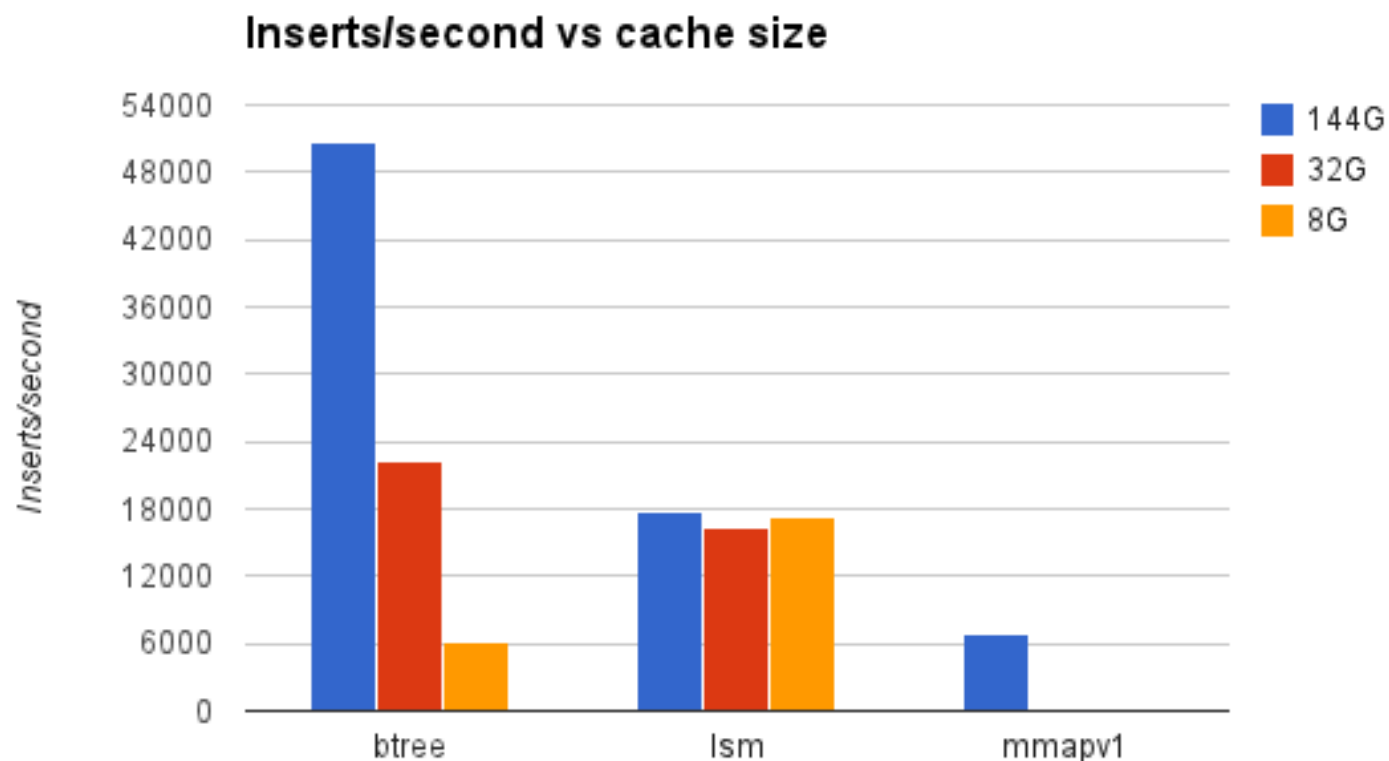


# Log-Structured Merge (LSM) Tree

- Because external structures are immutable and only increase, periodic compaction is required
  - Overhead!
  - Since efficient external data structures are sorted, typically simple merge-sort is efficient
  - Key collisions are handled by only keeping new data



# Some Performance Numbers



Data from iibench for MongoDB